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Date

Raffi Gostanian

11/4/2005

# RECEIVED P. 1/6 CENTRAL FAX CENTER

# NOV 0 4 2005

PTO/88/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031
U.S. Petant and Trademark Office; U.S. DEPARTMENT OF COMMERCE hider the Phonewick Reduction Act of 1995, no persons are required to respond cliou of information unless it displays a valid OMB control number Application Number 10/023.674 TRANSMITTAL Filing Date 12/18/2001 First Named Inventor **FORM** Jonathan E. Schmidt Art Unit Examiner Name Petel, Ashokkumar B (to be used for all correspondence after initial hang) Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(8) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power at Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Identify Torminal Disclaimer Extension of Time Request below): Statement To The Substance Of An Interview Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Cartifled Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Fum Name RG&Associates

# CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Signature Typed or printed name Raffi Gostanian Date 11/4/2005

Reg No.

42,595

This collection of information is required by 37 GFR 1.5. The information is required to obtain or retain a bonofit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 GFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on this amount of time your require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1650, Alexandria, VA. 22313-1450. DO NOT SEND FEEG OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450.

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Jonathan E. Schmidt, et al.

Serial No.:

10/023,674

Filed:

12/18/2001

Title:

INTERNET PROVIDER SUBSCRIBER COMMUNICATIONS SYSTEM

Art Unit:

2154

Examiner:

Patel, Ashokkumar B.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### CERTIFICATE OF FAXING

I hereby certify that this correspondence is being faxed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA at fax number 571-273-8300 on the date shown below.

Date of Deposit: November 4, 2005

Signatura:

Name: Raffi Gostanian, Jr.

# STATEMENT OF THE SUBSTANCE OF AN INTERVIEW

This Statement provides the substance of a face-to-face interview that occurred on September 22, 2005 between Examiner Ashokkumar B. Patel, Inventor Jonathan E. Schmidt, and Patent Attorney Robert C. Curfiss regarding the above identified patent application. This Statement is an addendum to an amendment filed on September 28, 2005 via an RCE in response to an Office Action mailed on June 28, 2005. This Statement is in response to the Interview Summary (Confirmation No. 3270) mailed by the Examiner on October 4, 2005.

Statement to the Substance of the Interview begins on page 2 of this paper.

Remarks begin on page 5 of this paper.

# Statement to the Substance of the Interview:

According to MPEP Section 713.04, a complete and proper recordation of the substance of any interview should include at least the following applicable items (if applicable, a response will follow the item):

(A) a brief description of the nature of any exhibit shown or any demonstration conducted;

No exhibits were shown and no demonstrations were conducted.

(B) identification of the claims discussed;

Independent claim 1 was discussed.

(C) identification of specific prior art discussed;

Simmons (US 5,974,451), Brothers (US 6,438,125), and Castell (US 2002/0132607 A1).

(D) identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary form completed by the examiner;

The principal proposed amendment centered around the use of the word "upstream" to describe the type of web traffic data generated by a subscriber.

(E) the general thrust of the principal arguments of the applicant and the examiner should also be identified, even where the interview is initiated by the examiner. The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments can be understood in the context of the application file. Of course, the applicant may desire to emphasize and

fully describe those arguments which he or she feels were or might be persuasive to the examiner;

Previously presented claim 1 discloses, among other elements, instructing a subscriber to go to an originally requested site by transmitting a modified message to the subscriber to cause an inclusion of an original upstream flow of web traffic data for connecting the subscriber to the original destination URL.

Applicants believe that the cited prior art, either alone or in combination, do not disclose or suggest such a use of upstream data.

(F) a general indication of any other pertinent matters discussed;

Inventor Jonathan Schmidt described how the present invention was unique by examining the upstream data and causing the subscriber's browser to, itself, fetch both the bulletin and the original content. Further, the subscriber first makes his own connection to the desired destination and not to a proxy, and the subscriber receives all the data from the desired destination directly (from a real TCP/IP connection with that destination) and not from any device or intermediary system. As such, the present invention can service millions of outstanding messages to a million subscribers unlike proxy servers that may have the ability to service only thousands.

(G) if appropriate, the general results or outcome of the interview; and

Bob Curfiss suggested adding the term "upstream" before references to the data from the subscriber. Examiner Patel appeared to agree that such a term would be beneficial and he indicated that he would consider the change and update his search.

(H) in the case of an interview via electronic mail, a paper copy of the Internet e-mail contents MUST be made and placed in the patent application file as required by the

Federal Records Act in the same manner as an Examiner Interview Summary Form, PTOL 413, is entered.

N/A

### **REMARKS**

Applicants thank the Examiner for taking the time to meet and to discuss the pending application. Based on the aforementioned remarks and the amendment mailed on September 28, 2005, Applicants believe the present invention is in condition for allowance. A Notice of Allowance is therefore respectfully requested.

If the Examiner has any other matters which pertain to this Application, the Examiner is encouraged to contact the undersigned to resolve these matters by Examiner's Amendment where possible.

Respectfully Submitted,

Raffi Gostanian, Jr.
Registered Patent Agent
Reg. No. 42,595

Date:

RG & Associates 1103 Twin Creeks, Ste. 120 Allen, TX 75013